

UNITED STATE DISTRICT COURT  
NORTHERN DISTRICT NEW YORK

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SECURITIES AND EXCHANGE COMMISSION,

10-CV-457 (GLS)

*Plaintiff,*

- against -

McGINN SMITH & CO., INC., et al,

*Defendants.*

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**CERTIFICATE OF SERVICE**

I, Leslie Baldwin, hereby certify that on April 22, 2015, I served **Notice of Appeal from the Decision and Order of the Hon. Gary L. Sharpe, dated March 30, 2015** by means of the Court's CM/ECF system, on the following individuals:

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Dated: April 22, 2015  
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/s/ \_\_\_\_\_  
Leslie Baldwin