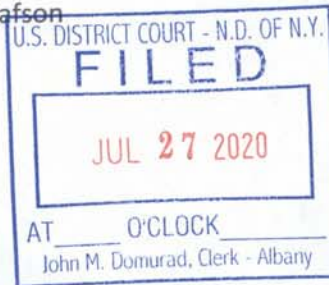


Chris A. Gustafson, Kim A. Taddeo, Scott A. Gustafson
14113 Douglass Lane,
Saratoga, CA 95070

July 21, 2020



William J. Brown
Philips Lytle LLP
One Canalside
125 Main Street
Buffalo, NY 14203-2887

Dear Mr. Brown,

We would like the status of the final settlement of the U.S. Securities and Exchange Commission versus McGinn, Smith & Co. Inc., et al. case.

When do you expect the final disbursements to be made?

Sincerely,

Chris Ann Gustafson, Co-TTEE

Chris Ann Gustafson, Co-TTEE

The Gustafson Family Trust

Cc:

Hon. Gary Sharpe, Senior U.S. District Judge
James T. Foley U.S. Courthouse
445 Broadway, Room 441
Albany, NY 12207

Temmerman, Cilley & Kohlmann, LLP
Jennifer F. Scharre
2502 Steven Creek Boulevard
San Jose, CA 95128

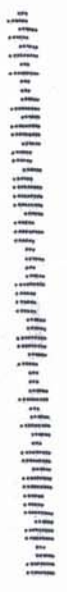


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Hon. Gary Sharpe, Senior U.S. District Judge
James T. Foley U.S. Courthouse
445 Broadway, Room 441
Albany, NY 12207


Chris Gustafson
14113 Douglass Ln.
Saratoga, CA 95070

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