

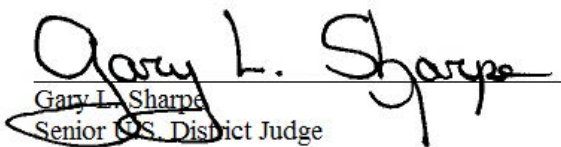
<u>Beneficiary</u>	<u>Financial Institution</u>	<u>Account No.</u>	<u>Balance (approx.)</u>
David Smith (401K) SSN: XXX-XX-XX09 DOB: XX/XX/1945	John Hancock Life Insurance Co. Retirement Plan Services P.O. Box 600 Buffalo, NY 14201-0600	-1561	\$ 133,418.26 (plus the value of any uncashed checks)
Timothy M. McGinn (401K) SSN: XXXX-XX-XX51 DOB: XX/XX/1948	John Hancock Life Insurance Co. Retirement Plan Services P.O. Box 600 Buffalo, NY 14201-0600	-1561	\$ 5,740.40 (plus the value of any uncashed checks)

John Hancock Life shall, within 14 days of the service of this turnover order, make such payment to the Receiver, for inclusion in the receivership estate in this action and distribution pursuant to the Court-approved Plan. Payment shall be: (a) made by United States postal money order, certified check, bank cashier's check, or bank money order; (b) made payable to "William J. Brown, Receiver"; (c) hand-delivered or mailed to William J. Brown, Phillips Lytle LLP, Omni Plaza, 30 South Pearl Street, Albany, NY 12207; and (d) submitted with a copy of this Order and a cover letter that identifies: (i) John Hancock Life as submitting the payment and (ii) the name and number of this proceeding (*SEC v. McGinn Smith & Co., Inc.*, 10-cv-457 (N.D.N.Y.)). Further, Respondent shall simultaneously transmit photocopies of evidence of payment, the cover letter sent to the Receiver, and this Order to David Stoelting, Senior Trial Counsel, Division of Enforcement, Securities and Exchange Commission, 200 Vesey Street, Suite 400, New York, NY 10281.

II.

ORDERED that this Court shall retain jurisdiction to enforce compliance with this Order.

February 18, 2020
Albany, New York


Gary L. Sharpe
Senior U.S. District Judge