

Appendix of Exhibits in Support of Emergency Application

	<i>VOLUME TWO</i>	
DATE	DOCUMENT	EXH.
2/1/10	David Smith investigative testimony (FINRA)	20
2/2/10	David Smith investigative testimony (FINRA)	21
2/3/10	David Smith investigative testimony (FINRA)	22
2/12/10	David Smith investigative testimony (FINRA)	23
2/4/10	Timothy McGinn investigative testimony (FINRA)	24
2/3/10	Timothy McGinn investigative testimony (FINRA)	25
	David Smith – CRD Report	26
	Timothy McGinn – CRD Report	27