

Meryl Sokoler

Brisbane, CA 94005

October 25, 2017

Honorable Gary L. Sharpe
445 Broadway
Albany, NY 12207

Dear Judge Sharpe,

I am reaching out in the hopes that you can help me expedite a claim that is currently in receivership with William Brown at Phillips Lytle LLP.

The claim #6514A re: McGinn Smith/Rita Sokoler has been held up because Mr. Brown required proof that I was the beneficiary of the investment. Rita Sokoler, my mother, passed away in 2001. A copy of her death certificate and her Trust were sent to Mr. Brown who would not accept what I sent as sufficient proof. Unfortunately, that's all I have after 16 years.

On 9/25 I sent him original receipts (registered mail) from McGinn Smith in my name as beneficiary as well as a statement from JP Morgan in my name as beneficiary of Rita Sokoler. Since then Mr. Brown has been completely unresponsive to three messages and an email. (I have attached copies of the receipts for your review).

Your honor, I am a senior citizen on a fixed income and could really use these funds. I would be very grateful for your help in getting this claim settled.

Very sincerely yours,



Meryl Sokoler

Ms. Meryl Sokoler

Brisbane, CA 94005

Mr. William J. Brown
3400 HSBC Center
Buffalo, NY 14203

September 25, 2017

Dear Mr. Brown,

I am writing once again regarding Claim 6514A re: McGinn Smith receivership for my mother, Rita Sokoler.

I have located some paperwork, which I hope will put an end to any reservations you may have.

First, I have attached three receipts from McGinn Smith for three funds including First Excelsior Income Notes which I believe is the fund you are handling.

As you can see, these are named as follows:

Rita Sokoler IRA Deceased Bear Sterns SEC Corp Cust
Meryl Sokoler Beneficiary

Brisbane, CA 94005

Second, I have also attached a statement from JP Morgan from 2015 that includes the three funds above made out as follows:

Rita Sokoler IRA Deceased
JPMCC Cust
Meryl J. Sokoler Beneficiary

Brisbane, CA 94005

Third, I have enclosed a letter I received from First Excelsior Income Notes on 10/20 2008, referencing McGinn Smith and the investment.

I sincerely hope this puts the matter to rest and look forward to your earliest reply.

Sincerely,

Meryl Sokoler

McGINN, SMITH & CO., INC.
INVESTMENT BANKERS - INVESTMENT BROKERS

ONE CAPITAL CENTER - 99 PINE STREET
ALBANY, NEW YORK 12207
(518) 449-5131

YOU BOUGHT	YOU SOLD
25,000	

DESCRIPTION:
FIRST EXCELSIOR INCOME NOTES, LLC
10.25% Secured Junior Notes due 01/30/09

PRICE
100

PRINCIPAL	INTEREST	COMMISSION
\$ 25,000.00		

AMOUNT DUE	TRADE DATE	SETTLE DATE
\$ 25,000.00	1/23/2004	1/26/2004

RITA SOKOLER IRA DECEASED BEAR STEARNS SEC CORP CUST
MERYL H SOKOLER BENEFICIARY

BRISBANE CA 94005-1229

ID NO.	REG REP#
1221	787
ACCOUNT NO.	
95762	

P&I DISTRIBUTION:
DEPOSIT TO BEAR ACCOUNT

MAKE CHECK PAYABLE/WIRE FUNDS TO: "CHARTER ONE BANK ESCROW AGENT FOR FIRST EXCELSIOR INCOME NOTES, LLC"

McGINN, SMITH & CO., INC.
INVESTMENT BANKERS - INVESTMENT BROKERS

ONE CAPITAL CENTER - 99 PINE STREET
ALBANY, NEW YORK 12207
(518) 449-5131

YOU BOUGHT	YOU SOLD
25,000	

DESCRIPTION:
ATLANTIS INCOME INVESTORS NOTES, LLC
10.25% Secured Junior Notes due 2008

PRICE
100

PRINCIPAL	INTEREST	COMMISSION
\$ 25,000.00		

AMOUNT DUE	TRADE DATE	SETTLE DATE
\$ 25,000.00	1/23/2004	1/26/2004

RITA SOKOLER IRA DECEASED BEAR STEARNS SEC CORP CUST
MERYL J SOKOLER BENEFICIARY

BRISBANE CA 94005-1229

ID NO.	REG REP#
1221	787
ACCOUNT NO.	
95762	

P&I DISTRIBUTION:
DEPOSIT TO BEAR ACCOUNT

MAKE CHECK PAYABLE/WIRE FUNDS TO: "CHARTER ONE BANK ESCROW AGENT FOR ATLANTIS INCOME INVESTORS NOTES, LLC"

McGINN, SMITH & CO., INC.
INVESTMENT BANKERS - INVESTMENT BROKERS

ONE CAPITAL CENTER - 99 PINE STREET
ALBANY, NEW YORK 12207
(518) 449-5131

YOU BOUGHT	YOU SOLD
.6 Unit	

DESCRIPTION:
ATLANTIS STRATEGIC TOTAL RETURN FUND, LLC

PRICE
25000

PRINCIPAL	INTEREST	COMMISSION
\$ 15,000.00		

AMOUNT DUE	TRADE DATE	SETTLE DATE
\$ 15,000.00	1/23/2004	1/26/2004

RITA SOKOLER IRA DECEASED BEAR STEARNS SEC CORP CUST
MERYL J SOKOLER BENEFICIARY

BRISBANE CA 94005-1229

ID NO.	REG REP#
1221	787
ACCOUNT NO.	
95762	

P & I PAYMENTS:
DEPOSIT TO BEAR ACCOUNT