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U.S. DISTRICT COURT OF N.Y.
FILED
OCT 31 2017
AT
Lawrence K. [unclear]

Wednesday, October 11, 2017

Hon. Christian F. Hummel
United States Magistrate Judge,
United States District Court for the Northern District of New York
James T. Foley - U.S. Courthouse
445 Broadway, Albany, New York 12207-2924

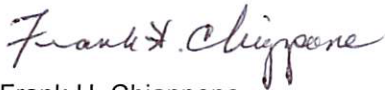
Honorable Judge Hummel:

My name is Frank Chiappone. At one time I was employed by McGinn Smith and Company in Albany. During the time I was employed there I invested in various McGinn Smith private placements. At the time of McGinn Smith's closing I was still the owner of one of the investments currently controlled by the receiver William Brown. Since Mr. Brown started posting the investor holdings on the web site he maintains, my holdings as well as other financial advisors who purchased McGinn Smith investments for their own account have been earmarked as "in dispute". Recently as Mr. Brown was preparing to make his distributions he sent paperwork for investors to verify their claim. I followed his instructions in a timely manner. I am now in possession of a letter authored by Mr. Brown objecting to Duplicative Paper Claims. I find this all very confusing as I followed his instructions when submitting my claim. Trying to reach out to Mr. Brown has been very frustrating as I have tried to reach out to him in the past for other matters. This is all very confusing.

Regarding our disputed claims. We have not been notified when we may address why our claims are disputed.

Any direction from your office would be appreciated

Sincerely,



Frank H. Chiappone



address redacted

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