



UNITED STATES
SECURITIES AND EXCHANGE COMMISSION

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DIVISION OF
ENFORCEMENT

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August 16, 2016

BY ECF

The Honorable Gary L. Sharpe
United States District Judge
United States District Court
Northern District of New York
United States Courthouse
Albany, New York 12207

Re: SEC v. McGinn, Smith & Co., Inc., et al., 10-cv-457 (GLS)(CFH)

Dear Judge Sharpe:

On behalf of plaintiff Securities and Exchange Commission and Relief Defendant Lauren T. Smith, I enclose for the Court's consideration and approval a proposed *Satisfaction of Judgment and Order Discontinuing Asset Freeze as to Relief Defendant Lauren T. Smith*.

Consistent with the *Turnover Order* entered July 28, 2016 (Dkt. 897), this proposed *Order* discontinues the asset freeze solely as to Relief Defendant Lauren T. Smith. James Linnan, counsel to Lauren T. Smith, consents to the entry of the proposed *Order*.

Respectfully submitted,

s/ David Stoelting

David Stoelting

cc (w/encl.): James Linnan, Esq. (counsel to Lauren T. Smith)
William J. Brown, Esq. (Receiver)

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF NEW YORK

SECURITIES AND EXCHANGE COMMISSION,

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Plaintiff,

v.

10-cv-457 (GLS)(CFH)

McGINN, SMITH & CO., INC., *et al.*,

Defendants.

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**SATISFACTION OF JUDGMENT AND ORDER DISCONTINUING
ASSET FREEZE AS TO RELIEF DEFENDANT LAUREN T. SMITH**

A Final Judgment as to Relief Defendant Lauren T. Smith (Dkt. No. 837) (“Lauren T. Smith Final Judgment”) was entered in the above action on June 25, 2015, which, among other things, ordered Relief Defendant Lauren T. Smith to return \$83,000 that was transferred to her on July 12, 2010, with such amount reduced by \$75,000 due to the offset for the Receiver’s sale of the Sacandaga Lake property; as a result of such offset, Relief Defendant Lauren T. Smith’s payment obligation was reduced to \$8,000, and the Lauren T. Smith Final Judgment with interest and costs thereon having been fully satisfied, solely as to Relief Defendant Lauren T. Smith, and it is certified that there are no outstanding executions with any Sheriff or Marshall; and

Full and complete satisfaction of the provisions for monetary relief in the Lauren T. Smith Final Judgment is hereby acknowledged; therefore, it is hereby:

ORDERED, that the asset freeze imposed by the Court shall be discontinued solely as to Relief Defendant Lauren T. Smith; specifically, the following financial accounts in the name of Relief

Defendant Lauren T. Smith are released from the asset freeze: NFS Roth IRA account number
XXXXXX0004; NFS account number XXXXXX2507; and Wells Fargo account number
XXXXXX0373.

Dated: _____, 2016
Albany, NY

UNITED STATES DISTRICT JUDGE