

**UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF NEW YORK**

SECURITIES AND EXCHANGE)
COMMISSION,)
)
Plaintiff,)
v.)
McGINN, SMITH & CO., INC., <i>et al.</i> ,)
)
Defendants.)
_____)

No. 1:10-cv-00457-GLS-CFH

**MOTION FOR ADMISSION
PRO HAC VICE**

Pursuant to Rule 83.1 of the Local Rules of the United States District Court for the Northern District of New York, I, Alan E. Rothman, hereby move this court for an Order for the admission of Sheldon L. Solow (“Petitioner”) to practice *pro hac vice* to appear as counsel for Stephen Fowler in the above-captioned action. This motion is supported by the attached Affidavit of Sponsor and Applicant’s accompanying Proposed Order, Petition for Admission to Practice, Attorney E-Filing Registration Form, Oath of Admission and Certificate of Good Standing.

Furthermore, Petitioner is in good standing of the bar of the State of Illinois and there are no pending disciplinary proceedings against him in any state or federal court.

Dated: January 14, 2016

Respectfully submitted,

By: /s/ Alan E. Rothman
Alan E. Rothman
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-and-

Sheldon L. Solow
KAYE SCHOLER LLP
Three First National Plaza
70 West Madison Street
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Chicago, IL 60602-4231
Telephone: (312) 583-2320
Facsimile: (312) 583-2520
Email: sheldon.solow@kayescholer.com

Attorneys for Stephen Fowler

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF NEW YORK

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**Petition of :** Sheldon L. Solow

**AFFIDAVIT  
OF  
SPONSOR**

~~~~~

STATE OF NEW YORK New York
COUNTY OF _____

Alan E. Rothman, being duly sworn, depose and say:

1. That I am an attorney associated with the law firm of Kaye Scholer LLP,
and am a member in good standing with the United States District Court for the Northern District of
New York. My NYND Bar Roll Number is: 516293.
2. I make this affidavit in support of the admission of Sheldon L. Solow.
3. I have known Sheldon L. Solow since 2011,
and find him / her to be of high moral character and suitable for admission to the United States District
Court for the Northern District of New York.

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I declare under penalty of perjury that the foregoing is true and correct to the best of my knowledge.

**Dated this** 14, **day of** January, **20** 16.

s/ Alan E. Rothman  
**SPONSOR**

**UNITED STATES DISTRICT COURT FOR  
THE NORTHERN DISTRICT OF NEW YORK**

**IN THE MATTER OF THE APPLICATION OF** )  
 )  
 Sheldon L. Solow ) **ORDER**  
 )  
 )  
**TO BE ADMITTED TO THE UNITED STATES** )  
**DISTRICT COURT FOR THE NORTHERN** )  
**DISTRICT OF NEW YORK** )

Upon fulfilling the requirements set forth in Local Rule 83.1 of the Northern District of New York, Sheldon L. Solow is hereby accepted for

- Permanent Admission to practice in the United States District Court for the Northern District of New York.
- Pro Hac Vice Admission to practice in the United States District Court for the Northern District of New York for the particular case of :  
Securities and Exchange Commission v. McGinn, Smith & Co., Inc., No. 1:10-cv-00457-GLS-CFH.

IT IS SO ORDERED

Dated : \_\_\_\_\_

\_\_\_\_\_  
 U.S. District Judge  
 U.S. Magistrate Judge

UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF NEW YORK

IN THE MATTER OF THE APPLICATION

OF

PETITION FOR  
ADMISSION TO PRACTICE

Sheldon L. Solow

:  
:  
:  
:

I, Sheldon L. Solow, being sworn, depose and say:

1. That I reside at 525 Hillside Drive, Highland Park, IL 60035 and my office address is 70 W. Madison St., Suite 4200, Chicago, IL 60602.
2. That I was admitted to practice in the courts of the State of Illinois on 11/26/1975, by the Supreme Court of Illinois.
3. That I graduated from Harvard Law School on 06/12/1975 after having completed the required courses of study.
4. That I have never been held in contempt of court, censured, suspended or disbarred by any court.<sup>1</sup>
5. That I am also admitted to practice in the following States, territories, districts, commonwealths or other courts of the United States:

| BAR                 | DATE    |
|---------------------|---------|
| <u>See Attached</u> | <u></u> |
| <u></u>             | <u></u> |
| <u></u>             | <u></u> |
| <u></u>             | <u></u> |

6. That I have read, and am familiar with: The Judicial Code (Title 28 U.S.C.); the Federal Rules of Civil Procedure and the Federal Rules of Evidence for the District Courts; the Federal Rules of Criminal Procedure for the District Courts; the Local Rules and General Orders for the Northern District of New York; and the N.Y.S. Rules of Professional Conduct and will faithfully adhere thereto.

WHEREFORE it is respectfully requested that this court order that Petitioner,

Sheldon L. Solow, be admitted to practice before the Bar of this Court.

I declare under penalty of perjury that the foregoing is true and correct to the best of my knowledge.

Dated this 14, day of January, 2016.



PETITIONER

<sup>1)</sup> Discipline Orders issued by any Court prior to executing this Petition must be attached.

|                                                                       |                   |
|-----------------------------------------------------------------------|-------------------|
| Harvard Law School                                                    | June 12, 1975     |
| United States Court of International Trade (f/k/a U.S. Customs Court) | April 3, 1980     |
| U.S. Court of Appeals, 7 <sup>th</sup> Circuit                        | May 6, 1983       |
| U.S. Court of Appeals, 8 <sup>th</sup> Circuit                        | January 6, 1984   |
| Supreme Court of the United States                                    | August 12, 1983   |
| U.S. District Court, Central District of Illinois                     | May 5, 2009       |
| U.S. District Court, Northern District of Illinois - General Bar      | November 26, 1975 |
| U.S. District Court, Northern District of Illinois - Trial Bar        | December 21, 1982 |
| State of Illinois                                                     | November 4, 1975  |



AO 153 (Rev. 6/96)

|                                                                                                                                                                                                                                      |                                       |                         |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------|-------------------------|
| Name: LAST, <b>Solow</b> FIRST <b>Sheldon L.</b>                                                                                                                                                                                     |                                       |                         |
| <b>OATH ON ADMISSION</b>                                                                                                                                                                                                             |                                       |                         |
| I, <b>Sheldon L. Solow</b> , DO SOLEMNLY SWEAR (OR AFFIRM) THAT AS AN ATTORNEY AND AS A COUNSELOR OF THIS COURT I WILL CONDUCT MYSELF UPRIGHTLY AND ACCORDING TO LAW, AND THAT I WILL SUPPORT THE CONSTITUTION OF THE UNITED STATES. |                                       |                         |
| DATE:<br>01/14/2016                                                                                                                                                                                                                  | SIGNATURE:<br><i>Sheldon L. Solow</i> | BAR I.D. NO.<br>2673061 |

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|                                                      |                    |                                   |
|------------------------------------------------------|--------------------|-----------------------------------|
| FIRM NAME<br><b>Kaye Scholer LLP</b>                 |                    | TEL. NO.<br><b>(312) 583-2300</b> |
| FIRM ADDRESS<br><b>70 W. Madison St., Suite 4200</b> |                    |                                   |
| CITY<br><b>Chicago</b>                               | STATE<br><b>IL</b> | ZIP CODE<br><b>60602</b>          |
| <b>BELOW FOR OFFICE USE ONLY</b>                     |                    |                                   |
| SWORN AND SUBSCRIBED BEFORE ME,                      |                    | DATE                              |
| ADMITTED ON MOTION OF: (Movant)                      |                    |                                   |



## **Certificate of Admission To the Bar of Illinois**

I, Carolyn Taft Grosboll, Clerk of the Supreme Court of Illinois, do hereby certify that

Sheldon Louis Solow

has been duly licensed and admitted to practice as an Attorney and Counselor of Law within this State; has duly taken the required oath to support the CONSTITUTION OF THE UNITED STATES and of the STATE OF ILLINOIS, and also the oath of office prescribed by law, that said name was entered upon the Roll of Attorneys and Counselors in my office on November 4, 1975 and is in good standing, so far as the records of this office disclose.

In Witness Whereof, I have hereunto placed my hand and affixed the seal of said Supreme Court, at Springfield, in said State, this Wednesday, January 13, 2016.



Clerk