

IN THE UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF NORTHERN DISTRICT OF NEW YORK

SEC,
Plaintiff(s)
v.
McGinn Smith & Co., Inc.
Defendant(s) et al.

CASE NO. 10 CV 457 (GLS)
DRH

REQUEST FOR TRANSCRIPT

TO: Bonnie J. Buckley, Court Reporter / Transcriber.

Notice is hereby given that an official transcript¹ of a proceeding has been requested of the following portion(s) of the proceedings:

Hearing held June 9-11, 2010
before Judge Homer

The party requesting the transcript must also provide the Court Reporter / Transcriber with a FORM AO 435 Transcript Order². This form is available on the Court's website at www.nynd.uscourts.gov.

Please note that if the Transcript request is for an appeal, the requestor must also file the appropriate transcript appeal forms that are available on the 2nd Circuit Webpage at <http://www.ca2.uscourts.gov/>.

S/ David Stvely
Attorney for SEC
NDNY Bar Roll # 516163
Address: 3 World Financial Center
Room 400
New York, NY 10281

¹Upon the filing of the official transcript with the Clerk of Court in a civil or criminal proceeding by the court reporter, the parties will have five (5) business days to file a Notice of Intent to Request Redaction. For additional information on the Court's Policy concerning redaction of transcripts please visit the Court website at www.nynd.uscourts.gov.

²For Criminal transcript requests by a CJA Panel Member please request from the Clerk's Office a CJA-24 Transcript Voucher form and have it approved by the presiding judge prior to filing this form.

Certificate of Service

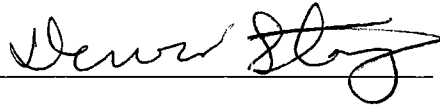
I hereby certify that on 6/25/10, I electronically filed the foregoing with the Clerk of Court using the CM/ECF system which will send notification to the following:

Michael Koenig, Esq., James Featherstonhaugh, Esq.,

Jill Dunn, Esq., William

and that I mailed by United States Postal Service the document to the following non CM/ECF participants: _____

Brown,
Esq.

s/ 

AO 435 (Rev. 12/03)		Administrative Office of the United States Courts			FOR COURT USE ONLY DUE DATE:	
TRANSCRIPT ORDER						
Read Instructions on Back:						
1. NAME		2. PHONE NUMBER		3. DATE		
David Stuetling		212-336-0174		6/25/10		
4. MAILING ADDRESS		5. CITY		6. STATE		7. ZIP CODE
SEC 3 World Financial Center, Room 406 NY, NY 10281		New York		NY		10281
8. CASE NUMBER		9. JUDGE		DATES OF PROCEEDINGS		
10 CV 457		GLS/DRH		10. FROM		11. TO
				June 9, 2010		Ju
12. CASE NAME		LOCATION OF PROCEEDINGS				
		13. CITY		14. STATE		
		ALbany		NY		
15. ORDER FOR						
<input type="checkbox"/> APPEAL		<input type="checkbox"/> CRIMINAL		<input type="checkbox"/> CRIMINAL JUSTICE ACT		<input type="checkbox"/> BANKRUPTCY
<input type="checkbox"/> NON-APPEAL		<input checked="" type="checkbox"/> CIVIL		<input type="checkbox"/> IN FORMA PAUPERIS		<input type="checkbox"/> OTHER (Specify)
16. TRANSCRIPT REQUESTED (Specify portion(s) and date(s) of proceeding(s) for which transcript is requested)						
ALL June 9-11						
PORTIONS		DATE(S)		PORTION(S)		DATE(S)
<input type="checkbox"/> VOIR DIRE				<input type="checkbox"/> TESTIMONY (Specify Witness)		
<input type="checkbox"/> OPENING STATEMENT (Plaintiff)						
<input type="checkbox"/> OPENING STATEMENT (Defendant)						
<input type="checkbox"/> CLOSING ARGUMENT (Plaintiff)				<input type="checkbox"/> PRE-TRIAL PROCEEDING (Specy)		
<input type="checkbox"/> CLOSING ARGUMENT (Defendant)						
<input type="checkbox"/> OPINION OF COURT						
<input type="checkbox"/> JURY INSTRUCTIONS				<input type="checkbox"/> OTHER (Specify)		
<input type="checkbox"/> SENTENCING						
<input type="checkbox"/> BAIL HEARING						
17. ORDER						
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CERTIFICATION (18. & 19.) By signing below, I certify that I will pay all charges (deposit plus additional).				ESTIMATE TOTAL		
18. SIGNATURE			PROCESSED BY			
David Stuetling						
19. DATE			PHONE NUMBER			
June 25, 2010						
TRANSCRIPT TO BE PREPARED BY			COURT ADDRESS			
ORDER RECEIVED		DATE	BY			
DEPOSIT PAID				DEPOSIT PAID		
TRANSCRIPT ORDERED				TOTAL CHARGES		
TRANSCRIPT RECEIVED				LESS DEPOSIT		
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(Previous editions of this form may still be used)

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