

UNITED STATE DISTRICT COURT
NORTHERN DISTRICT NEW YORK

SECURITIES AND EXCHANGE COMMISSION,

10-CV-457 (GLS)

Plaintiff,

- against -

McGINN SMITH & CO., INC., et al,

Defendants.

CERTIFICATE OF SERVICE

I, Leslie Baldwin, hereby certify that on August 11, 2014, I served **Defendant David L. Smith's Response to Plaintiff's Statement of Material Facts and Statement of Additional Facts in Opposition to Plaintiff's Summary Judgment Motion, and Defendant David L. Smith's Memorandum of Law in Opposition**, by means of the Court's CM/ECF system, on the following individuals:

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Dated: August 11, 2014
Albany, New York

/s/ _____
Leslie Baldwin