

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF NEW YORK

-----X
SECURITIES AND EXCHANGE COMMISSION :

Plaintiff, :

vs. :

Case No. 1:10-CV-457
(GLS/CFH)

McGINN, SMITH & CO., INC., :
McGINN, SMITH ADVISORS, LLC :
McGINN, SMITH CAPITAL HOLDINGS CORP., :
FIRST ADVISORY INCOME NOTES, LLC, :
FIRST EXCELSIOR INCOME NOTES, LLC, :
FIRST INDEPENDENT INCOME NOTES, LLC, :
THIRD ALBANY INCOME NOTES, LLC, :
TIMOTHY M. MCGINN, AND :
DAVID L. SMITH, GEOFFREY R. SMITH, :
Individually and as Trustee of the David L. and :
Lynn A. Smith Irrevocable Trust U/A 8/04/04, :
LAUREN T. SMITH, and NANCY MCGINN, :

Defendants, :

LYNN A. SMITH and :
NANCY MCGINN, :

Relief Defendants. and :

GEOFFREY R. SMITH, Trustee of the :
David L. and Lynn A. Smith Irrevocable :
Trust U/A 8/04/04, :

Intervenor. :

-----X

CERTIFICATE OF SERVICE

I, Karen M. Ludlow, being at all times over 18 years of age, hereby certify that on October 10, 2013, a true and correct copy of the Receiver’s Report Regarding Smith Trust Sacandaga Property as Directed by Memorandum-Decision and Order Dated September 11, 2013 (Docket No. 592) (without sealed exhibits B, C, D and E) was caused to be served by e-mail upon all parties who receive electronic notice in this case pursuant to the Court’s ECF filing system, and by First Class Mail on October 11, 2013 to the parties indicated below:

- **Alison B. Cohen** - acohen@gkblaw.com
- **William J. Dreyer** - wdreyer@dreyerboyajian.com, lbaldwin@dreyerboyajian.com, sbreslin@dreyerboyajian.com, bhill@dreyerboyajian.com
- **Scott J. Ely** - sje@fwc-law.com
- **James D. Featherstonhaugh** - jdf@fwc-law.com, cr@fwc-law.com, shm@fwc-law.com, sje@fwc-law.com, sbh@fwc-law.com

- **Erin K. Higgins** - EHiggins@ckrpf.com
- **Benjamin W. Hill** - bhill@dreyerboyajian.com,glentini@dreyerboyajian.com
- **Iseman, Cunningham, Riester & Hyde, LLP** - riseman@icrh.com
- **E. Stewart Jones, Jr** - bessetca@esjlaw.com,sangerki@esjlaw.com
- **Jack Kaufman** - kaufmanja@sec.gov
- **Fred N. Knopf** - fred.knopf@wilsonelser.com
- **James P. Lagios** - jlagios@icrh.com,tmantica@icrh.com,mlohman@icrh.com
- **Haimavathi V. Marlier** - marlierh@sec.gov
- **Kevin P. McGrath** - mcgrathk@sec.gov
- **Lara S. Mehraban** - mehrabanl@sec.gov,marlierh@sec.gov,newvillej@sec.gov
- **Michael J. Murphy** - mmurphy@carterconboy.com,mlypka@carterconboy.com,abell@carterconboy.com
- **Joshua M. Newville** - newvillej@sec.gov
- **Craig H. Norman** - cnorman@coopererving.com,jbugos@coopererving.com
- **Thomas E. Peisch** - TPeisch@ckrpf.com,apower@ckrpf.com
- **Piaker & Lyons CPAs** - cswanekamp@jaeckle.com
- **Terri L. Reicher** - Terri.Reicher@finra.org
- **Martin P. Russo** - mrusso@gkblaw.com
- **David P. Stoelting** - stoeltingd@sec.gov,mehrabanl@sec.gov,mcgrathk@sec.gov,paleym@sec.gov,wbrown@phillipslytle.com,arnoldl@sec.gov
- **The Shoma Group** - fsilva@shomagroup.com
- **Benjamin Zelermyer** - bzlaw@optonline.net,steincav@aol.com

Nancy McGinn
7 Crowsnest Court
Waterford, NY 12188

Thomas J Urbelis
Urbelis & Fieldsteel, LLP
155 Federal Street
Boston, MA 02110-1727

Michael L. Koenig, Esq.
Greenberg Traurig, LLP
54 State Street, 6th Floor
Albany, NY 12207

Martin H. Kaplan, Esq.
Gusrae, Kaplan, Bruno & Nusbaum PLLC
120 Wall Street
New York, NY 10005

Jill A. Dunn, Esq.
The Dunn Law Firm PLLC
99 Pine Street, Suite 210
Albany, NY 12207

Dated: October 11, 2013

/s/ Karen M. Ludlow
Karen M. Ludlow