

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF NEW YORK

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SECURITIES AND EXCHANGE COMMISSION :

Plaintiff,

vs.

Case No. 1:10-CV-457
(GLS/DRH)

McGINN, SMITH & CO., INC.,
McGINN, SMITH ADVISORS, LLC
McGINN, SMITH CAPITAL HOLDINGS CORP.,
FIRST ADVISORY INCOME NOTES, LLC,
FIRST EXCELSIOR INCOME NOTES, LLC,
FIRST INDEPENDENT INCOME NOTES, LLC,
THIRD ALBANY INCOME NOTES, LLC,
TIMOTHY M. McGINN, AND
DAVID L. SMITH, GEOFFREY R. SMITH,
Individually and as Trustee of the David L. and
Lynn A. Smith Irrevocable Trust U/A 8/04/04,
LAUREN T. SMITH, and NANCY McGINN,

Defendants,

LYNN A. SMITH and
NANCY McGINN,

Relief Defendants. and

GEOFFREY R. SMITH, Trustee of the
David L. and Lynn A. Smith Irrevocable
Trust U/A 8/04/04,

Intervenor.

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CERTIFICATE OF SERVICE

I, Jayne A. Hahn, being at all times over 18 years of age, hereby certify that on June 7, 2012, a true and correct copy of the Motion of William J. Brown, as Receiver, for an Order Approving (I) Sale and Bidding Procedures with Respect to the Sale of White Glove Cruises, LLC, and Luxury Cruise Receivables, LLC, (II) Time, Date, Place and Manner of Notice for Each of the Auction and Sale Hearing, (III) an Order Approving the Sale of the Receiver's Interests in White Glove Cruises, LLC and Luxury Cruise Receivables, LLC free and Clear of Liens, Claims, Encumbrances, and Other was caused to be served by e-mail upon all parties who receive electronic notice in this case pursuant to the Court's ECF filing system, as follows:

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and by First Class Mail on June 8, 2012 to the parties indicated below:

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June 11, 2012

/s/ Jayne A. Hahn
Jayne A. Hahn