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UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF NEW YORK

SECURITIES AND EXCHANGE COMMISSION,

Plaintiff.

٧.

No. 10-CV-457 (GLS/DRH)

McGINN, SMITH & CO., INC., et al.,

Defendants.

ORDER

Presently pending is the motion of plaintiff Securities and Exchange Commission for an

order compelling defendants to produce the records of the LaValle & Finn law firm regarding

defendants David and Lynn Smith. Dkt. No. 388. The documents produced by the law firm for

ex parte, in camera review by the undersigned contained documents previously unseen by

defendants. Defendants then requested written clarification from the law firm regarding such

documents, but the law firm has yet to respond to that request. Accordingly, it is hereby

ORDERED that:

1. The LaValle & Finn law firm shall respond to written request of defendants for

clarification no later than October 7, 2011 and

2. Defendants are granted until October 14, 2011 to file any supplemental pleadings

which they deem necessary in light of the response they receive from the LaValle & Finn law

firm.

IT IS SO ORDERED.

Dated: October 5, 2011

Albany, New York

United States Magistrate Judge