

UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF NEW YORK

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SECURITIES AND EXCHANGE COMMISSION,

*Plaintiff,*

-against-

McGUINN, SMITH & CO., INC. *et al.*,

*Defendants.*  
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Case No. 10-CV-457 (GLS/DRH)

**CERTIFICATE OF SERVICE**

I hereby certify that on August 1, 2011, I filed my Notice of Objections/Appeal, Objections and Memorandum of Law in Support of Objections, Proposed Order to Show Cause and Corrected Declaration dated August 1, 2011, on the Court's ECF system and caused true copies to be mailed to the following:

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August 2, 2011

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