

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF NEW YORK

_____)	
SECURITIES AND EXCHANGE COMMISSION)	
)	
Plaintiff,)	
)	1:10 CV 457 GLS/DRH
v.)	
)	
McGINN, SMITH & CO, INC., et al.)	
_____)	

CERTIFICATE OF SERVICE

I hereby certify that on March 21, 2011, I filed a Memorandum of Law in Opposition to the Plaintiff's Motion for Sanctions and the Affidavit of Thomas J. Urbelis on behalf of non-party Thomas J. Urbelis, on the Court's ECF system, and caused true copies to be mailed to the following:

Nancy McGinn
26 Port Huron Drive
Niskayuna, NY 12309

Charles C. Swanekamp, Esq.
Jaeckle, Fleischmann Law Firm – Buffalo Office
12 Fountain Plaza
700 Fleet Bank Building
Buffalo, NY 14202

Dated: March 21, 2011

Conn Kavanaugh Rosenthal Peisch & Ford,
LLP

By: /s/ Erin K. Higgins
Bar Roll # 106812 (*pro hac vice*)
Attorneys for Thomas J. Urbelis
Ten Post Office Square
Boston MA 02109
Telephone: (617) 482-8200
Facsimile: (617) 482-6444
E-mail: ehiggins@ckrpf.com