

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF NEW YORK

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SECURITIES AND EXCHANGE COMMISSION,

Plaintiff,

-against-

McGUINN, SMITH & CO., INC. *et al.*,

Defendants.
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Case No. 10-CV-457 (GLS/DRH)

CERTIFICATE OF SERVICE

I hereby certify that on March 21, 2011, I filed my Memorandum of Law in Opposition to Plaintiff's Motion for Sanctions against Jill A. Dunn, Esq. and my Declaration dated March 21, 2011, on the Court's ECF system and caused true copies to be mailed to the following:

Nancy McGinn
26 Port Huron Drive
Niskayuna, NY 12309

Charles C. Swanekamp, Esq.
Jaeckle, Fleischmann Law Firm - Buffalo Office
12 Fountain Plaza
700 Fleet Bank Building
Buffalo, NY 14202

March 21, 2011

Steinberg & Cavaliere, LLP

By: s/ Benjamin Zelermyer
Bar Roll # 516663
Attorneys for Jill A. Dunn, Esq.
50 Main Street, Suite 901
White Plains, NY 10606
Telephone: (914) 761-4200
Facsimile: (914) 761-4256
E-mail: bzlaw@optonline.net