

IN THE UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF NORTHERN DISTRICT OF NEW YORK

<u>SEC</u>	)	
Plaintiff(s)	)	
	)	
v.	)	CASE NO. <u>10-cv-457</u>
<u>McGinn Smith et al.</u>	)	
Defendant(s)	)	

**REQUEST FOR TRANSCRIPT**


TO: Theresa J. Casal, Court Reporter / Transcriber.

Notice is hereby given that an official transcript<sup>1</sup> of a proceeding has been requested of the following portion(s) of the proceedings:

Hearing held on November 16, 2010 before  
Judge Homer

The party requesting the transcript must also provide the Court Reporter / Transcriber with a FORM AO 435 Transcript Order<sup>2</sup>. This form is available on the Court's website at [www.nynd.uscourts.gov](http://www.nynd.uscourts.gov).

Please note that if the Transcript request is for an appeal, the requestor must also file the appropriate transcript appeal forms that are available on the 2<sup>nd</sup> Circuit Webpage at <http://www.ca2.uscourts.gov/>.

S/   
 Attorney for Plaintiff, SEC  
 NDNY Bar Roll # 516339  
 Address: SEC  
3 World Financial Ctr.  
NY, NY 10281

<sup>1</sup>Upon the filing of the official transcript with the Clerk of Court in a civil or criminal proceeding by the court reporter, the parties will have five (5) business days to file a Notice of Intent to Request Redaction. For additional information on the Court's Policy concerning redaction of transcripts please visit the Court website at [www.nynd.uscourts.gov](http://www.nynd.uscourts.gov).

<sup>2</sup>For Criminal transcript requests by a CJA Panel Member please request from the Clerk's Office a CJA-24 Transcript Voucher form and have it approved by the presiding judge prior to filing this form.

**Certificate of Service**

I hereby certify that on 11/23/10, I electronically filed the foregoing with the Clerk of Court using the CM/ECF system which will send notification to the following:

Martin Russo, Esq., Jill Dun, Esq., James Featherstonhaugh, esq. + William Brown, Esq.

and that I mailed by United States Postal Service the document to the following non CM/ECF participants: Nancy McOm (by email)

s/ 