

EXHIBIT E



February 27, 2009

Via Certified Mail: 7008-0500-0001-6638-0905 & First Class Mail

Mr. David L. Smith, President
McGinn, Smith & Company, Inc.
99 Pine Street - Capital Center
Albany, New York 12207-3156

**RE: Indictment United States of America vs. Joseph L. Bruno (Defendant) Criminal
Number 09-CR-29(GLS)**

Dear Mr. Smith:

Please be advised that the staff of FINRA District 9B ("the staff") is conducting a review of certain activity with regard to the above captioned indictment. In connection with this review, the staff requests that McGinn Smith & Company, Inc. ("the Firm") provide the following documentation and information for immediate inspection and copying:

Documentation Requested:

1. Copies of all of the Firm's e-mails and instant messages (includes all incoming, outgoing and deleted) from December 1, 1992 to December 31, 2008 to defendant Joseph L. Bruno (CRD#33702), Capital Business Consultants and Wright Investors Service. Such response must be in the native format and placed onto a CD in a searchable format. If there are any lapses in your Firm's production, please provide a signed typewritten explanation and identify the dates of such lapses in production.
2. The Firm's facsimile (incoming and outgoing) for the same time period to the same parties as mentioned in request number 1.
3. Clear and legible copies of the Firm's bank account statements (all pages), including cancelled checks and wire transfer records for all monies paid to Joseph L. Bruno, Capital Business Consultants and/or Wright Investors Service for the period December 1, 1992 to December 31, 2008. If such is available in an electronic format, please provide the Firm's response on a CD in a readable format.
4. The Firm's check register (all pages), including, but not limited to, all cancelled checks paid by the Firm as mentioned in request number 3.
5. Cash receipts and disbursement blotter (all pages) and any and all documents reflecting the receipt and disbursement of cash to Joseph L. Bruno, Capital Business Consultants and/or Wright Investors Service for the period December 1, 1992 to December 31, 2008.

Investor protection. Market integrity.

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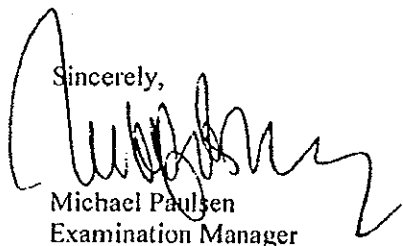
Mr. David L. Smith, President
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6. All documents relating to any consulting agreements, including, but not limited to, drafts, revisions, and final versions of any agreements with Mr. Joseph Bruno, Capital Business Consultants and/or Wright Investors Service.
7. A complete listing of Mr. Bruno's outside brokerage accounts. Such response must include the name of the employee, the name of the broker/dealer and the account number of such accounts.
8. All documents, including but not limited to, contracts, agreements and memoranda relating to any investment banking activities participated in by the Firm or any of the Firm's affiliates, employees or managing directors or any entity in which they or the Firm and /or affiliates have a beneficial interest during the period December 1, 1992 through December 31, 2008 relating to Mr. Joseph Bruno, Capital Business Consultants and Wright Investments Service. If none, please state so.
9. All documents, including but not limited to, investor subscription agreements, suitability determination and offering memoranda relating to any private placement(s) in which the Firm or any of the Firm's affiliates, employees or managing directors or any entity in which they or the Firm and/or affiliates has a beneficial interest, during the period December 1, 1992 through December 31, 2008 relating to Mr. Joseph Bruno, Capital Business Consultants and/or Wright Investments Service. If none, please state.
10. All employment/personnel records for defendant Joseph L. Bruno relating to his association with McGinn Smith & Company either as a registered representative, full time employee or part-time consultant.

The FINRA staff notes that the above request does not waive the Staff's rights to request additional documentation or information as needed. This inquiry should not be construed as an indication that the staff has determined that any violations of the rules of FINRA or federal securities laws have occurred.

This request is being made pursuant to **NASD Procedural Rule 8210**, which requires a member Firm and persons associated (or formerly associated) with a member Firm to provide information with respect to any matter involved in an investigation, complaint or proceeding. Please provide your response by no later than Friday, March 6, 2009. Your failure to comply with this request may subject you or the Firm to disciplinary action. If you have any questions, please contact the undersigned at 732-596-2073.

Sincerely,



Michael Paulsen
Examination Manager

Cc: Alan Blumenthal, Principal Examiner