

UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF NEW YORK

SECURITIES AND EXCHANGE
COMMISSION,

Plaintiff,

-vs-

Civil Action No. 10-cv-457(GLS/RFT)

MCGINN, SMITH & CO., INC., *et al.*,

Defendants.

I certify that on this 5th day of November, 2010, I caused a copy of the Notice of Motion to Quash Pursuant to Fed. R. Civ. Pro. 45(c)(3)(A), Affidavit of Charles C. Swanekamp in Support of Motion, and Supporting Memorandum of Law, to be served on Lara S. Mehraban, Esq., of the Securities and Exchange Commission, 3 World Financial Center, Room 400, New York, New York 10281, by electronically filing same with the Clerk of the U.S. District Court for the Northern District of New York.

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