

**UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF NEW YORK**

SECURITIES AND EXCHANGE COMMISSION,

Plaintiff,

v.

1:10-cv-00457 (AMN/PJE)

McGINN, SMITH & CO., INC., McGINN, SMITH
ADVISORS, LLC, McGINN, SMITH CAPITAL
HOLDINGS CORP., FIRST ADVISORY INCOME
NOTES, LLC, FIRST EXCELSIOR INCOME
NOTES, LLC, FIRST INDEPENDENT INCOME
NOTES, LLC, THIRD ALBANY INCOME NOTES,
LLC, TIMOTHY M. McGINN, DAVID L. SMITH,
LYNN A. SMITH, GEOFFREY R. SMITH, Trustee of
the David L. and Lynn A. Smith Irrevocable Trust U/A
8/04/04, GEOFFREY R. SMITH, LAUREN T.
SMITH, and NANCY McGINN,

Defendants,

and,

LYNN A. SMITH, and NANCY McGINN,

Relief Defendants, and

GEOFFREY R. SMITH, Trustee of the David L. and
Lynn A. Smith Irrevocable Trust U/A 8/04/04,

Intervenor.

**ORDER APPROVING FINAL APPLICATION OF CHIAMPOU TRAVIS BESAW &
KERSHNER LLP FOR APPROVAL OF FEES AND EXPENSES**

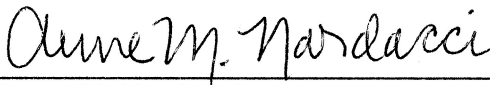
Upon the Application of Chiam pou Travis Besaw & Kershner LLP (“Chiam pou”) for Approval of the final Application for Fees and Expenses dated March 17, 2025 (“Final Application”) for an order approving accountant’s fees and expenses; and notice of the Final

Application having been given to the Securities and Exchange Commission and all parties who have filed a Notice of Appearance in this action and other parties in interest via the Receiver's website, which notice is deemed good and sufficient notice; and the Court having determined that sufficient cause exists; it is therefore

ORDERED, that the Final Application is approved such that compensation for services rendered between March 1, 2024 through January 31, 2025 in the amount of \$4,123.74 is allowed as a final allowance, and it is further

ORDERED, that the Receiver is authorized and directed to pay the amounts as allowed pursuant to this Order.

Dated: September 11, 2025
Albany, New York



Anne M. Nardacci
U.S. District Judge